

Cowen and Company did not have any “covered” orders pursuant to SEC Rule 605 for:

Jan-19	Jan-21	Jan-23
Feb-19	Feb-21	Feb-23
Mar-19	Mar-21	Mar-23
Apr-19	Apr-21	Apr-23
May-19	May-21	May-23
Jun-19	Jun-21	Jun-23
Jul-19	Jul-21	Jul-23
Aug-19	Aug-21	Aug-23
Sep-19	Sep-21	Sep-23
Oct-19	Oct-21	Oct-23
Nov-19	Nov-21	Nov-23
Dec-19	Dec-21	Dec-23
Jan-20	Jan-22	Jan-24
Feb-20	Feb-22	Feb-24
Mar-20	Mar-22	Mar-24
Apr-20	Apr-22	
May-20	May-22	
Jun-20	Jun-22	
Jul-20	Jul-22	
Aug-20	Aug-22	
Sep-20	Sep-22	
Oct-20	Oct-22	
Nov-20	Nov-22	
Dec-20	Dec-22	